

# INTER-PACIFIC

SECURITIES SDN. BHD.

197201001092 (12738-U)

A Participating Organisation of Bursa Malaysia Securities Berhad  
A Trading Participant of Bursa Malaysia Derivatives Berhad

## APPLICATION FOR MARGIN FACILITY (CORPORATE)

<b>Facility Limit Required</b> : RM	<b>Retail A/C Code</b> :
<b>Name of Remisier / Dealer</b> :	<b>Margin A/C Code</b> :

### Corporate's Particulars:

Name of Firm/Corporate:

Company Reg. No.	Date of Incorporation: .....	Place of Incorporation: .....
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Nature of Business: .....	Share Capital (Authorised): RM .....	Share Capital (Paid Up): RM .....
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Registered Address:

<input style="width: 95%; height: 20px;" type="text"/>	Postal Code:	<input style="width: 95%; height: 20px;" type="text"/>
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Tel. No.: <input style="width: 20px;" type="text"/> - <input style="width: 40px;" type="text"/>	Fax No.: <input style="width: 20px;" type="text"/> - <input style="width: 40px;" type="text"/>
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Correspondence Address

<input style="width: 95%; height: 20px;" type="text"/>	Postal Code:	<input style="width: 95%; height: 20px;" type="text"/>
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Tel. No.: <input style="width: 20px;" type="text"/> - <input style="width: 40px;" type="text"/>	Fax No.: <input style="width: 20px;" type="text"/> - <input style="width: 40px;" type="text"/>
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### Board of Directors

Name	NRIC / Passport No.*	Nationality
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.....	.....	.....
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**Personnel Authorised To Deal On Behalf Of The Firm / Corporation:**

Name	NRIC / Passport No.*	Designation
.....	.....	.....
.....	.....	.....
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*\* Delete whichever not applicable*

### Bankers

Bank	Branch	Account No.
.....	.....	.....
.....	.....	.....
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**Other Information**

Are the Directors related to any staff or Director or Dealer's Representative of Inter-Pacific Securities Sdn Bhd? Yes  No

Are the Directors an employee / director of any Participating Organisation of Bursa Malaysia? Yes  No

Details of related persons with accounts maintained with Inter-Pacific Securities Sdn Bhd.

(a) Name: \_\_\_\_\_ (b) Name: \_\_\_\_\_  
Relationship: \_\_\_\_\_ Relationship: \_\_\_\_\_  
Account No. \_\_\_\_\_ Account No. \_\_\_\_\_

Do you have an existing account with Inter-Pacific Securities Sdn Bhd? Yes  No

If Yes, Account No. \_\_\_\_\_

Are you a guarantor for any account held in Inter-Pacific Securities Sdn Bhd? Yes  No

If Yes, Account No. \_\_\_\_\_

Name \_\_\_\_\_

**Declaration & Warranty**

1. We hereby solemnly and sincerely declare and undertake that the opening of the Margin Account does not violate the Rules of Bursa Malaysia Rule ("the Rules") and in particular 5.3.6 of the Rules:
  - (a) None of Inter-Pacific Securities Sdn Bhd (IPS) or its related company's directors or employees (or spouse, parent, child of such a director or employee) is interested as a director, partner, manager, guarantor or agent (other than as a stockbroking agent) in our firm / corporation.
  - (b) None of IPS executive directors or employees or their spouse, parent or child holds 5 percentum or more of the shares of our corporation.
  - (c) None of IPS's non-executive director or their spouse, parent or child holds, directly or indirectly, 5 percentum or more of the shares of our corporation (whether listed or not listed on a recognised stock exchange).
  - (d) No non-executive director of a Participating Organisation of Bursa Malaysia holds any share (in his personal capacity) of our corporation [in respect of a corporation not listed on any recognised stock exchange].
2. We hereby represent warrant and covenant to IPS as follows:
  - (a) We warrant that we are a corporation established and subsisting under the laws of Malaysia and have the power and authority to own properties and assets and to carry on business as being conducted.
  - (b) The execution and the performance of any agreement, memorandum, security document or any other instrument with regard to the Margin Trading Facility are within our power and have been duly authorised by all necessary actions and does / will not contravene any law or any contractual or other restrictions binding us and any aggregate borrowing by us and our subsidiaries including all amount for the time being outstanding hereunder are not in excess of any borrowing limits imposed by any instrument restriction or covenant to which we or our subsidiaries may be subject to.
  - (c) We have no litigation or proceedings before any court of law, arbiter, governmental or other regulatory authority or agency bodies pending, which will threaten us, our properties and assets and restrain the execution of any agreement, memorandum, security document or any other instrument as the case may be. There are also no contingent liabilities or other financial obligation, which are material in the aggregate except as disclosed in the financial statements furnished herein.
  - (d) There is no encumbrances whatsoever upon any stocks, shares and other marketable securities to be pledged that will have priority over the charge(s) to be created in your favour. Save as disclosed herein, none of our assets, revenues, properties & rights are affected by any assignment, mortgage, charge or any interest in any property whether movable or immovable of any kind whatsoever and any bill of exchange, promissory notes, debt, chose in action, guarantee or indemnity or any other security any nature whatsoever (hereinafter referred to as "Security Interest") nor is any part to, or its assets bound by any order, agreement, or instrument under which is or in certain circumstances may be required to create or permit to arise any Security Interest.
  - (e) We are aware that the truth and correctness of the above warranties and undertakings hereby contained shall form the basis of your commitment to make and continue to make available the above facility (if approved).
3. By signing below, we request that the Margin Trading Account be opened for us and we agree to abide by the Terms and Conditions as amended from time to time governing such an account.
4. We agree that IPS shall incur no liability whatsoever to us or the remisier / dealer in exercising any or some or all of its rights under the Agreement signed or for taking or not taking any action in connection with the Margin Account granted hereunder or any transaction through or under the same.
5. We agree to be responsible to you and to indemnify you for any sums, expenses, costs, losses or shortfalls of whatsoever nature incurred by you under our account in the event that we fail to make payment to you on time when due and a letter signed by you shall be final and conclusive of such sums, expenses, costs, losses or shortfalls.

6. We hereby authorise you to verify, exchange and release information on ourselves or any of the names mentioned herein with any such third parties as you deemed appropriate. I also authorise you to make any enquiries whenever deemed necessary for confirmation of the due particulars and for credit assessment.
7. We do solemnly declare that the dealings in securities in respect of our trading account shall be carried out -
- for us as principals
- for and on behalf of the party(ies) set out below, from whom, through whom or on whose behalf the securities are to be dealt with

**PARTICULARS**

Name of party(ies) concerned : .....

\* Company No. : .....

\* Address/Registered Address : .....

.....

and we make this solemn declaration conscientiously believing the same to be true.

We further confirm that should we fail to disclose that our trading is on behalf of party(ies) other than ourselves, it shall be deemed that we are acting as our own principal. Notwithstanding that we may declare herein that our trading is on behalf of party(ies) other than ourselves, we shall be fully responsible and liable for whatsoever losses and outstanding sum in the trading account.

8. We hereby declare that the information given is true and correct and that we have not wilfully withheld any material facts.

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**1. Authorised Signatory**

Name : .....

NRIC No: .....

Date : .....

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**1. Signature of Witness**

Name : .....

NRIC No: .....

Date : .....

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**2. Authorised Signatory**

Name : .....

NRIC No: .....

Date : .....

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**2. Signature of Witness**

Name : .....

NRIC No: .....

Date : .....

We enclose herewith the following documents (photocopy) certified by us as true & correct.

- |  |   |
|--|---|
| <input type="checkbox"/> Constitution  | <input type="checkbox"/> Financial Statements for the last 2 years                    |
| <input type="checkbox"/> Document(s) evidencing the incorporation or registration of the company, the company's current name and registration number | <input type="checkbox"/> A Board Resolution Authorising the Opening of Margin Account |
| <input type="checkbox"/> Document(s) evidencing the ownership of the company e.g. the latest return of allotment of shares or annual return          | <input type="checkbox"/> Bank Statements for the last 3 months                        |
| <input type="checkbox"/> Two (2) original or certified true copies of the list of authorised signatory(ies) and specimen signatures                  |   |

Note: All transactions for the Clients Account(s) shall be subject to the Constitution, rules, regulation, by-laws, customs, levies and usages of Bursa Malaysia or such other stock exchanges or markets in which IPS are dealing on the Client's behalf whether in Kuala Lumpur or elsewhere as all Malaysian Laws, enactments, rules and regulations of the governing authorities, including Bank Negara Malaysia, Securities Commission and other relevant bodies.

**Remisier's / Dealer's Recommendation**

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Signature of Remisier / Dealer

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Date

**For Office Use Only**

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Credit Limit Recommended

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Equity Ratio

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Interest Rate

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Rollover Fee

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Remarks