

(12738-U) A Participating Organisation of Bursa Malaysia Securities Berhad A Trading Participant of Bursa Malaysia Derivatives Berhad

INDIVIDUAL

In compliance with relevant tax authorities' requirement, Inter-Pacific Securities Sdn Bhd ("IPS") is obliged to collect certain information about each investor's tax arrangement. Please complete the sections below as directed and provide any document that is requested. Please note that we may be legally obliged to share this information, and other financial information with respect to the financial accounts to which this form relates to Inland Revenue Board of Malaysia ("IRBM"), who in turn may share the information with relevant tax authorities of other jurisdictions where you are a tax resident.

If you have any questions on how to define your tax residency status or US indicia, please consult your tax adviser or visit the OECD automatic exchange of information portal at (<u>http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm</u>) or IRS FATCA website (https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca).

Please complete this form if you are an individual or a sole proprietor.

This form will remain valid unless there is a change in circumstances relating to information, such as the account holder's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification within 30 days from such change with the supporting documents, as applicable.

1. IDENTIFICATION OF ACCOUNT HOLDER						
Name (as per NRIC / Passport)						
Residence Address						
NRIC No. : Passport No. Nationality : Malaysian Non-Malaysian, please specify: Name Sole Proprietor / Sole Trader (as in Certificate of Incorporation)						
Company Registration No :						
2. FATCA DECLARATION						
Are you a U.S. Citizen or Resident (including a green card holder)	: Yes No					
Do you have U.S. passport	: Yes No					
Is U.S. your country of birth	: Yes No					
Do you hold a current U.S. residence or mailing address (including a U.S. post office box)	: Yes No					
Do you have a current U.S. telephone number	: Yes No					
Do you currently maintain any standing instructions to the U.S.	: Yes No					
Do you currently assign power of attorney or signatory authority to a person within the	: Yes No					
Do you currently have a "hold mail" or "in care of " address as your sole address : Yes No						
Do you currently file a tax return in U.S.	: Yes No					
U.S. TIN :						
3. CRS DECLARATION OF TAX RESIDENCY						
Please tick \square one of the following:						
i) I am a tax resident of Malaysia only. (Please proceed to item no. 4)						
ii) I am not a tax resident of Malaysia and I have no other tax residency(ies) with other country(ies). (Please proceed to item no. 4)						

iii) I am not a tax resident of Malaysia and I have other tax residency(ies) with other country(ies).

iv) I am a tax resident of Malaysia and I have other tax residency(ies) with other country(ies).

If you have selected (iii) and (iv) above, please complete the following table:-

For the purposes of taxation, I am a tax resident in the following countries and my Taxpayer Identification Number ("TIN") or an equivalent in each country is set out below or I have indicated that a TIN or its equivalent is unavailable:

	Country/Jurisdiction of Tax Residence	TIN (Taxpayer Identification Number)	If no TIN available, enter Reason A, B or C (Refer to Notes on page 2)
1			, , , , , , , , , , , , , , , , , , , ,
2			
3			

Notes:

TIN is not available due to the following reasons:

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents.

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason).

TIN application is in progress.	I am a housewife, househusband, student or retiree.
Others, please specify:	

Reason C – No TIN is required. (Note: Only select this reason if the domestic law of relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction).

If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate sheet.

4. DECLARATION AND SIGNATURE OF ACCOUNT HOLDER

I acknowledge that the information contained in this form and information regarding my account(s) with you may be provided to IRBM and they may exchange this information with tax authorities of other countries pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise IPS within 30 days of any change in circumstances which affects or causes the information contained herein to become incorrect or incomplete, and to provide IPS with a suitably updated self-certification within 30 days from such change with the supporting documents, as applicable.

Signature of Applicant Name: NRIC / Passport No. / Other ID: Date:

5. DEFINITIONS

Account Holder means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example, in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

Account Information means any information relating to Account Holder including without limitation to the account number, account balance or value, currency denomination, gross receipts, withdrawals and payments to or from the account and the total gross amount of interest paid or credited to the account.

Financial Account means an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts as defined in FATCA and CRS regulations.

U.S. means the United States.

OECD means the Organisation for Economic Co-operation and Development. OECD is an intergovernmental economic organisation with 35 members countries, founded in 1960 to stimulate economic progress and world trade.

IRS means Internal Revenue Service.

FATCA means Foreign Account Tax Compliance Act. FATCA is a 2010 United States federal law requiring all non-U.S. ('foreign') financial institutions (FFIs) to search their records for customers with indicia of 'U.S.-person' status, such as a U.S. place of birth, and to report the assets and identities of such persons to the U.S. Department of the Treasury.

CRS means Common Reporting Standard. CRS is developed in response to the G20 request and approved by the OECD Council on 15 July 2014, calls on jurisdictions to obtain information from their financial institutions and automatically exchange that information with other jurisdictions on an annual basis. It sets out the financial account information to be exchanged, the financial institutions required to report, the different types of accounts and taxpayers covered, as well as common due diligence procedures to be followed by financial institutions.

TIN (including "functional equivalent") means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

Tax Resident refers to the definition of tax residence by each participating jurisdiction as provided on [http://www.oecd.org/tax/automatic-exchange/crsimplementation-and-assistance/tax-residency/#id.en.347760\]. In general, you will find that tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal mentioned above.