

**APPLICATION TO
OPEN RETAIL
ACCOUNT**



Applicant's Information:

Name:

Title: Mr/En Ms/Cik Mdm/Puan Others, please specify _____

NRIC No.: (NEW)

NRIC No.: (OLD) (Copy of NRIC/Passport attached)

Passport/ID No.:

Date of Birth: - - DD-MM-YYYY

Sex: Male Female

Nationality:

Home Address:

Post Code:

Please tick mailing address: Home Office

FOR OFFICE USE ONLY	
CDS NO	: 0 5 4 - <input type="text"/> - <input type="text"/>
Date Opened	: <input type="text"/> - <input type="text"/> - <input type="text"/>
CLIENT CODE	: <input type="text"/>
DEALER REP.	: <input type="text"/>
CREDIT LIMIT	: RM <input type="text"/>
BROKERAGE	: <input type="text"/> RETAIL / MARGIN / SECURED

Tel:

Mobile:

Fax:

E-mail:

Professional/ Business Information: Name of employer/firm/business:

Address:

Present Position: Date employed:

If own business, state percentage shareholdings/ownership:

Nature of business: Tel: Fax:

Financials: Annual Income (RM): Networth (RM):

Broker	Type (Trading/Margin)	Credit Limit	Date Opened
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Bank	Address/Branch	Account Type	Account No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

References:

If married:

Name of spouse:

NRIC (NEW)/PP No: NRIC No. (OLD):

Introduced by: Name: NRIC/PP No.:

INVESTMENT OBJECTIVES

1. Investment Horizon

<input type="checkbox"/>	Long Term
<input type="checkbox"/>	Medium Term
<input type="checkbox"/>	Short Term
<input type="checkbox"/>	Others _____ (Please specify)

2. Investment Experience

<input type="checkbox"/>	Nil
<input type="checkbox"/>	< 1 year
<input type="checkbox"/>	1 – 3 years
<input type="checkbox"/>	> 3 years

3. Investment Types

<input type="checkbox"/>	Capital Appreciation
<input type="checkbox"/>	Income
<input type="checkbox"/>	Others _____ (Please specify)

RELATIONSHIP AND FINANCIAL PARTICULARS

1. Are you a director, an employee or Dealer's Representative of any stockbroking company in Malaysia?

<input type="checkbox"/>	Yes. Name of Company: _____
<input type="checkbox"/>	No.

2. * Details of related persons with trading accounts maintained with Inter-Pacific Securities Sdn Bhd?

i.	Name	:
ii.	Relationship	:
iii.	Account No.	:
i.	Name	:
ii.	Relationship	:
iii.	Account No.	:
i.	Name	:
ii.	Relationship	:
iii.	Account No.	:

<input type="checkbox"/>	No.
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3. Do you have commitments with any financial institutions?

<input type="checkbox"/>	Yes. Name of Bank: _____		
<input type="checkbox"/>	Overdraft	<input type="checkbox"/>	Hire Purchase
<input type="checkbox"/>	Property Loan	<input type="checkbox"/>	Share Margin Financing
<input type="checkbox"/>	No.		

* Related persons means spouse, children, parents, siblings and companies in which you have at least 15% interest

TERMS AND CONDITIONS FOR OPENING OF TRADING ACCOUNT

1. I hereby:
 - 1.1. request you to open a trading account under the account name set out above (the "Account") which definition shall where the context so admits include but not limited to a CDS Account or any other securities account that I may have opened with you;
 - 1.2. undertake to abide by all laws, rules and regulations relating to my trading activities with you (including without limitation the Rules of Bursa Malaysia Securities Berhad (BMSB), the Rules of Bursa Malaysia Depository Sdn Bhd and all by-laws thereto) now in force or from time to time amended, revised or supplemented and which I hereby confirm and acknowledge that I am fully aware and cognisant thereof prior to and/or upon opening of the Account. My attention has been drawn to the full disclosure requirements and that my account may be frozen if I fail to make the disclosure as and when requested by BMSB;
 - 1.3. declare and undertake that all particulars and information given in this application form are true and correct and that I have not withheld any material fact/information from you, which would affect your opening of the Account and provision of facilities in relation thereto. I hereby authorise you to verify at any time and from time to time all of the particulars and/or information furnished herein in such manner as you shall deem fit. I further declare I am trading on my own behalf and not as nominee for any other person;
 - 1.4. agree that all documents provided by me for this application will upon your receipt thereof become your property and will not be returned to me;
 - 1.5. declare that I am not underage or below 18 years of age; that I am not a mentally disordered person within the meaning of the Mental Disorders Ordinance 1952; and that I have not been adjudicated a bankrupt under the Bankruptcy Act 1967 and/or any other enactment or laws of another country and remain an undischarged bankrupt at the time of application;
 - 1.6. undertake to forthwith furnish you with such additional particulars and information as you may require at any time and from time to time;
 - 1.7. declare and agree that my application herein is subject to your approval and you are absolutely entitled at your sole discretion to reject my application or impose such conditions and/or restrictions as you may deem fit without giving any reasons therefor.
2. In the event the Account is opened pursuant to the application herein I hereby further agree to the followings:-
 - 2.1. Notwithstanding that I may have been granted Discretionary Financing by you, I undertake to make immediate payment for delivery of securities purchased by me and/or on my behalf when the same is due or upon any demand made by you and you shall be entitled to apply such payment in any manner as you may in your absolute discretion deem fit.
 - 2.2. I undertake to pay any security deposit required of me in relation to the Account including but without limitation deposit for purchase contracts made/transacted by me and/or on my behalf.
 - 2.3. I undertake to deliver to you promptly any and all transfer deeds and certificates or other documents in relation thereto as you may require from time to time. I further undertake that at all time(s) the aforesaid documents are original and authentic and where necessary, duly executed by myself.
 - 2.4. I authorise you to sell out or buy in such securities as may be required to clear my position with you (the definition 'securities' mentioned in this Agreement hereafter includes but not limited to shares in the CDS Account).
 - 2.5. I agree to pay all such fees, charges and/or interest whatsoever that may be imposed by you from time to time in relation to any debit outstanding in the Account including but without limitation:-
 - (i) interest at 11% p.a. calculated on a daily basis until the date of full payment to you (whether before or after judgement being entered by you against me in any legal proceedings) or at such other rate as you shall determine from time to time.
 - (ii) handling fees; and
 - (iii) service charges.
 - 2.6. I agree that interest on any balance outstanding under the Account for the time being owing to you may at your sole discretion be capitalised at the end of or in such day or days of each calendar month and added for all purposes to the balance outstanding under the Account and shall thenceforth bear interest at such rate as you shall have determined at that time and/or any other time without any notice to me.
 - 2.7. I authorise you to mortgage, pledge or hypothecate any collateral shares to any bank(s) and/or financial institution(s).
 - 2.8. I authorise you to post my Contract Notes, Contra Statements, Monthly Statement of Accounts, letters and/or other documents to me (save and except the documents as stipulated in Clause 2.16 below) at my address stated herein and/or at my last known address by ordinary post, and the same shall be deemed received by me upon the expiration of three (3) days from the date of posting. Any certification made by any of your officer that the same has been properly posted to me on a specified date shall be deemed sufficient proof of same.
 - 2.9. I declare and agree that I shall notify you in writing of any disputes, discrepancies, incorrectness or irregularities arising from my Contract Notes, Contra Statements, Monthly Statement of Accounts, letters and/or other documents within 24 hours from the date of receipt or deemed receipt of the same by me, failing which, I shall have accepted, agreed and admitted to the correctness, truth, veracity and accuracy as to the price and quantity of shares bought or sold as stated therein as well as to the amount of monies due and owing by me to you, and I shall in no manner thereafter dispute or challenge the same in any Court of Law or otherwise.
 - 2.10. I authorise you to deal, in any manner as you shall deem fit, with all or any of the securities purchased for or under the Account for which I have not remitted payment.
 - 2.11. I hereby agree and authorise you to debit and/or transfer any losses, expenses, interest and whatsoever charges howsoever occurring arising from the rejection of trades from my margin account and/or provided by financial institutions, etc for whatsoever reason to my personal/retail trading account currently held by you. I further agree to abide by the terms and conditions as imposed by you for the operation of the personal/retail trading account and for the standing instructions given aforesaid.

- 2.12. I authorise you to withhold as you deem fit all or any of the securities purchased and paid by me in the Account for until the full and final settlement of any and/or all contra losses/charges/interest/costs in the Account. I hereby agree that you have a lien in your favour over all or any of my securities in your possession.
- 2.13. You shall have the right at any time hereafter with or without any notice to me or my concurrence to set-off:-
- (i) any of my liabilities to you liquidated or otherwise and whether such liabilities are in respect of monies payable hereunder or otherwise howsoever due from me to you against/from the proceeds of the sales of my securities in the Account;
 - (ii) any liability whether hereunder or otherwise howsoever arising and whether present or future, actual or contingent, primary or as surety owned by me to you against/from any amounts due or held in my account(s) or my trust account of any other account that I am beneficially entitled;
 - (iii) and/or transfer the credit balances in the Account in or towards satisfaction of all monies due and owing by me to you.
- 2.14. In addition and without prejudice to any of the above, I hereby expressly, unconditionally and irrevocably authorise you to debit my account(s) with you including but not limited to the Account with all or any amounts due or owing from me whether arising from or in respect of purchases of securities by me or contra losses incurred by me and/or all other monies payable by me to you or may be due from me to you from time to time.
- 2.15. I shall observe and comply with all and any policy(ies) and/or procedure(s) established and/or to be established by you from time to time and/or at any time.
- 2.16. In the event I fail, refuse and/or neglect to collect or cause to be collected any certificates or other documents or indicia of titles of securities purchased by me within three (3) calendar days from the date of allocation of the securities to me, you are absolutely entitled, but not obliged, to open a Shares Custody Account on my behalf and thereafter transfer my uncollected securities to my Shares Custody Account or such other share custody account as you may open on my behalf. I covenant to be bound and to abide by your terms and conditions as amended from time to time which govern the holder of a share Custody Account including without limitation the imposition of service charges for opening and operation of a Shares Custody Account.
- 2.17. I declare and agree that orders/instructions made/given by me which includes but not limited to the dealing of securities (or purported to be made/given by me) through the telephone or other means of instantaneous communication or otherwise shall be good and effective and shall be irrevocable and deemed confirmed unless contrary/other orders/ instructions are made/given by me immediately or within 24 hours PROVIDED ALWAYS that you may at your absolute discretion allow me to revoke the same if the same is capable of revocation. I further declare and agree that the risks of misunderstanding, errors and/or instructions by unauthorised parties shall fall on me and that you shall not be liable for any losses, damages, liabilities or expenses whatsoever that may arise from such misunderstanding error and/or unauthorised instructions and/or in your exercise of any discretion hereunder.
- 2.18. I hereby agree and irrevocably and unconditionally consent that the telephone conversations between me and the Dealer/Remisier may be recorded for the purpose of authentication of orders and other instructions and such recordings and transcripts being used as evidence in any dispute.
- 2.19. I declare and agree that you may at any time and your absolute discretion suspend or close the Account with or without giving any reason therefor.
- 2.20. As investment in securities involve risks, I agree and consent that you shall have the right at any time to limit purchases or sales ordered by me and you shall not be liable for any loss arising from any such limit on purchases or sales ordered by me.
- 2.21. I declare that I am aware of the most recent trading guidelines issued by you and have read and understood the said guidelines and agree that I will not make any claims against you in the event I suffer loss or damages as a consequence of my failure to observe or comply with the trading guidelines now existing or such other trading guidelines now existing or such other trading guidelines issued by you from time to time.
- 2.22. I undertake to be responsible for the safekeeping of any identification document and/or Pin number issued by you. I understand and agree that I shall not hold you responsible for any losses/damages incurred due to unauthorised use of the aforesaid identification document and/or Pin number.
- 2.23. I agree that I shall have no claim whatsoever against you for any payments in respect of securities which shall also include dealing in the CDS account sold by me or for delivery of certificates or other documents or indicia of titles of securities purchased by me in the event I have authorised my Dealer's Representative or any other person to collect payments and/or transfer deeds and certificates or other documents or indicia of titles of securities on my behalf from you.
- 2.24. I agree and acknowledge that my Dealer/Remisier is not authorised to offer trading suggestions, recommendations or information on the Company's behalf. Any such suggestions, recommendations or information if given shall therefore be deemed as having been made in my Dealer/Remisier own personal capacity and I shall not hold you liable for any losses (including without limitation to any loss of opportunity) I may suffer if I rely on these suggestions, recommendations or information.
- 2.25. I acknowledge that my Dealer/Remisier are not authorised to waive or vary any of the terms and conditions herein stated nor can they accept any liability on your behalf.
- 2.26. I agree at all times to fully and effectively indemnify you against and keep you fully and effectively indemnified against all actions, suits, proceedings, claims, demands, losses, charges, penalties, fee, fines, costs, debts, interests, legal fees and expenses (on a full indemnity basis) whatsoever made, taken, brought, instituted, imposed, suffered, incurred, prosecuted or payable in any way howsoever against or by you to any person arising out or incidental to all matters relating to the Account including but without limitation the agreements, undertaking and covenants herein. My indemnity herein shall continue in full force and effect and shall continue to subsist hereafter notwithstanding the suspension, termination or closure of the Account.
- 2.27. Any notice or communication which may be given hereunder shall be in writing and deemed duly given to the other party if delivered by hand or sent by ordinary post to the mailing address as stated hereunder or at the last known address and shall be deemed to have been served at the time when it is delivered if by hand if posted three (3) days from the date it is posted.

- 2.28. I shall forthwith notify you in writing of any changes of my address on my part. Should I fail to notify you or should you fail to receive my notification, any notice, contract notes, advices, reports, statement of accounts or demands issued by you to me sent, delivered or served by you to the address last known to you by ordinary post or otherwise shall be deemed duly served and received by me accordingly unless otherwise proved by me.
- 2.29. Notwithstanding anything contrary contained herein, I agree that the service of any documents and/or legal process which includes pleadings, all forms of originating process, interlocutory applications of whatever nature, affidavits, orders and such other documents which are required to be served under the Rules of High Court, 1980, Subordinate Court Rules 1980, Companies Act 1965, Bankruptcy Act 1967 and Debtors Act 1957 and the rules made thereunder may be served by prepaid registered post sent to the address as given by me in the application form or the new address as provided and shall be deemed to have been duly served and duly received by me upon the expiry of three (3) days after the same is effected.
- 2.30. Failure or delay on your part to insist in any one or more instance upon the performance of any provisions contained herein shall not be construed as a waiver or relinquishment of any of your right to future performance of such provisions or any of my obligation in respect of such future performance shall continue in full force and effect.
- 2.31. In the event that any or more of the provisions contained herein shall for any reason be held to be unenforceable, illegal or otherwise invalid in any respect under the law governing the terms herein (being the law of Malaysia) or its performance, such underforceability, illegality or invalidity shall not affect any other provisions herein and the provisions herein shall then be construed as if such unenforceable, illegal or invalid provisions had never been contained herein.
- 2.32. In the event of any default or breach of any of the provisions therein by me, without prejudice to any other rights and remedies available to you hereunder or at law you shall be entitled (or any time thereafter) to commence legal proceeding to recover my debts with you, I shall be responsible to bear all your legal costs/fees (on a solicitor and client basis) and all disbursements incurred by you as a result of you bringing or commencing any legal action against me for the purpose of recovering any monies due and owing by me to you and/or for the enforcement of any of your rights stated herein.
- 2.33. I hereby declare and agree that a certificate and/or statement duly certified by any of your officers as to the money and liabilities for the time being incurred or due to you by me shall be final and conclusive evidence of my indebtedness to you and shall be binding on me at all and any time and/or in any legal proceedings against me or my heirs, personal representatives, administrators and/or successors-in-title and assign.
- 2.34. I hereby declare and agree that so long as any monies/liabilities remain owing/outstanding or contingent, you shall have a lien therefor on all monies now or hereafter standing to my credit with you on any account (without prejudice to any and all rights which you may otherwise have under any statute or common law or in equity) and you shall also have a lien on any stocks or share certificates or other securities (whether comprised in the Account or otherwise) and/or other property belonging to me or under my control which have been deposited/pledged with you for any purpose or in any CDS account which I have a legal or beneficial interest. Upon my failure to satisfy any of the outstanding amount/liabilities (whether actual or contingent or jointly with any other party) when due or on demand, you shall be further entitled to and is hereby irrevocably authorised to sell or otherwise dispose of any or all of the said stocks, share certificates, or other securities or property upon giving me seven (7) days' notice and to apply the proceeds of the sale to satisfy any monies owing and/or any liabilities still outstanding.
- 2.35. I declare and acknowledge that I have received a copy of the Contra Guidelines upon opening of the retail trading account.
- 2.36. You are authorised to disclose any information on me to any relevant authorities.
- 2.37. I hereby authorise and empower my Dealer/Remisier (herein referred to as "authorised representative") on my behalf to:
- (a) deliver transfer deeds and certificates and/or other documents of title of securities sold by me to you.
 - (b) deliver Transfer Of Securities Request Form and/or Securities Deposit Request Form duly completed by me.
 - (c) collect cheque(s) and monies payable to me.
 - (d) collect transfer deeds and certificates and/or other documents of title of securities purchased and paid by me.
 - (e) collect any other documents, letters, forms, receipts other than stated aforesaid.
 - (f) make payment for securities purchased by me.
 - (g) carry out all and any other matters (administrative or otherwise) relating to my trading activities with you.
- Provided always that I shall be deemed to have revoked this authorisation if a written instruction stating otherwise is given by me to you.
- I hereby agree and understand that as a result of my said authorisation to my authorised representative to perform the functions as mentioned in clause 2.37 (a) to (g) above, there may be risks of the documents and cheques being lost, misappropriated or otherwise mishandled while they are in the custody of my authorised representative.
- In consideration of you agreeing to comply with my authorisation of the acts empowered as stated under clause 2.37 (a) to (g) above, I hereby agree and undertake to the followings:
- (i) I shall have no claims against you for all and any matters relating to this authorisation.
 - (ii) I hereby agree and undertake that you shall not be held liable or responsible to me for any losses, damages, claims and all other liabilities of whatsoever nature and descriptions arising from the above authorisation.
- 2.38. Unless provided otherwise in these Terms and Conditions, in the event I shall request for the replacement of my authorised representative for any reason whatsoever and/or there is any change or replacement of my authorised representative for any reason whatsoever, I hereby fully acknowledge and confirm that these Terms and Conditions shall remain binding and enforceable on me.
- 2.39. I hereby agree that you may in your absolute discretion with notice (whether in writing or otherwise) amend or vary the terms and conditions hereunder at any time or from time to time and/or impose additional clauses which shall bind me as if the amendments and additional clauses have been originally set out in these Terms and Conditions and if I continue usage of the Account it shall be deemed that the amendments, variations or changes have been consented to by me.

- 2.40. These Terms and Conditions and performance hereunder by the parties shall be governed by and construed in accordance with the laws of Malaysia and the parties hereto hereby submit to the non-exclusive jurisdiction of the Courts of the States of Malaya in all matters connected with the obligations and the liabilities of the parties under these Terms and Conditions. I also agree that the Subordinate Courts or any High Court in Malaysia shall have jurisdiction to hear any dispute or claim relating to these Terms and Conditions.
- 2.41. Time wherever mentioned shall be of the essence of these Terms and Conditions.
- 2.42. I shall not assign or transfer of my rights or obligations under these Terms and Conditions or any contract thereunder, except with your prior written consent. I hereby expressly give my consent to you to assign or transfer any of your rights and obligations under these Terms and Conditions or any contract thereunder to any other party.
- 2.43. Except as specifically permitted in these Terms and Conditions, no provision, term or clause can be, nor be deemed to be waived, altered, modified or amended unless acknowledged in writing by you that such waiver, alteration, modification or amendment shall take effect and bind both of us.
- 2.44. I further agree that these Terms and Conditions, inclusive of the Application Form, constitutes the complete statement of my agreement with you in respect of the opening of the Account and that this agreement does not include any prior or contemporaneous promises, representations or descriptions regarding the opening of the Account even if they are contained in any materials provided by you.
3. Where the context required hereunder words importing the singular shall include the plural and vice versa.
4. This agreement shall upon your acceptance thereof be legally binding on me and my heirs, personal representatives, administrators and/or successors-in-title and assigns.

CONTRA GUIDELINES

1. Pursuant to Rule 804.2(2)(c) of the Rules of Bursa Malaysia Securities Berhad, the guidelines for settlement by way of contra for contracts done on ready basis are as follows:-
- (i) Settlement by way of 'contra' shall be effected only on subsequent sale made not later than the second (2nd) market day (T+2) from the date of purchase.
 - (ii) Charges shall be imposed in respect of the 'contra' of purchase against subsequent sale made after the third (3rd) market day (T+3) from the date of purchase or against subsequent sale to close-off the purchase position.
 - (iii) Any differences such as contra losses shall be settled not later than the third (3rd) market day (C+3) following the date of 'contra'. Late payment charges at prevailing interest rate, calculated on a daily basis will be imposed after C+3. The interest rate is subject to change from time to time.
2. The above Contra Guidelines are subject to change from time to time by the relevant authorities and/or at your discretion. I have been advised to obtain the latest schedule of due dates and payment time frame from you.

STRUCTURED WARRANTS RISK DISCLOSURE STATEMENT

1. This statement is provided to me in accordance with the directive of the Committee of the Bursa Malaysia Securities Berhad dated 6 December 1994 pursuant to Article 50(c) of the Articles of the Exchange.
2. The purpose of this statement is to inform me that the risk of loss in purchasing structured warrants can be substantial. I should therefore assess if the purchase of structured warrants is suitable for me in light of my financial circumstances. In deciding whether to purchase structured warrants, I should be aware of the followings:-
- (i) The purchaser of a structured warrant is subject to the risk of losing the full purchase price of the structured warrant and all transaction costs;
 - (ii) In order to realise any value from a structured warrant, it is necessary to sell the structured warrants or exercise the structured warrants on or before their expiry date;
 - (iii) Under certain conditions, it may become difficult to sell the structured warrants;
 - (iv) Upon exercise of the structured warrants, the issuer may settle its obligations via actual delivery of the underlying assets, in cash or a combination of both depending on the terms of the issue of the structured warrants;
 - (v) Placing of contingent orders, such as "stop-loss" or "stop-limit" orders, will not necessary limit my losses to the intended amount. Market conditions may not make it possible to execute such orders; and
 - (vi) The high degree of leverage that is obtainable from structured warrants because of the small initial outlay can work against or for me. The use of leverage can lead to large losses as well as gain.
3. This brief statement cannot disclose all the risks and other aspects of purchasing structured warrants. I should therefore carefully study the terms and conditions of any structured warrant before I decide to purchase. If I am in doubt in relation to any aspect of this statement or the terms of a structured warrant, I should consult my broker.

TRADING IN FOREIGN SECURITIES

1. As and when applicable, I authorise the Company to take all necessary and expedient steps to purchase and/or sell securities listed in any recognised foreign stock exchange/exchanges ("the said foreign trading activities").
2. I further undertake to abide by all laws, rules and regulations relating to the said foreign trading activities with the said foreign stock exchange/exchanges, and shall keep the Company fully indemnified against any and/or all actions, claims, penalties, demands, losses, fines, costs, interests, legal fees and expenses whatsoever made in anyway howsoever against or by the Company arising out of or incidental to all matters relating to the said foreign trading activities. My indemnity shall continue in full force and shall continue to subsist hereafter notwithstanding the closure or suspension of the said foreign trading activities.

ANTI-MONEY LAUNDERING & ANTI-TERRORISM FINANCING ACT 2001

1. I fully appreciate that the Company is required to comply with the above Act, which amongst others require the Company to verify identify and verify source of funds especially when cash payments are made. Failure to comply may result in criminal sanctions against me and the Company reserves the right to freeze or terminate my account pending supply of all requested particulars and/or verification. In such an event I declare I shall have no claim whatsoever against the Company.

DECLARATION BY APPLICANT

I hereby declare and agree that I have read and understood the Terms and Conditions hereof and further declare and agree that I have not been induced into entering this contract nor any other or all contracts or contract which may now or hereafter be entered by me subsequent and pursuant by means of duress, coercion and/or undue influence on your part or on the part of your agents, representatives, employees or officers and that I shall not be entitled to allege otherwise. I further declare that I am fully aware of the risks involved in share market transactions and accept sole responsibility therefor; and I have read and fully understood the Contra Guidelines and Structured Warrants Risk Disclosure Statement attached to this Application Form.

I do solemnly declare that the dealings in securities in respect of my trading account are/shall be carried out:-

for me as principal

for and on behalf of the party(ies) set out below, from whom, through whom or on whose behalf the securities are to be dealt with:-

PARTICULARS

Name of party(ies) concerned :

* Company No./NRIC No. :

* Address/Registered address :

and I make this solemn declaration conscientiously believing the same to be true.

I further confirm that should I fail to disclose that my trading is on behalf of party(ies) other than myself, it shall be deemed that I am acting as my own principal. Notwithstanding that I may declare herein that my trading is on behalf of party(ies) other than myself, I shall be fully responsible and liable for whatsoever losses and outstanding sum in the trading account.

SUBSCRIBED AND SOLEMNLY)

declared by _____)

_____)

_____)

this day of 20)

(Signature)

** Before me,

Signature of witness : _____

Name of witness : _____

NRIC No. : _____

Address : _____

* delete if inappropriate
tick whichever is appropriate

** insert name, NRIC No and address of witness who must be the remiser or dealer assigned, a member of the Management of the Participating Organisation or a Commissioner for Oaths

**The Directors
Inter-Pacific Securities Sdn Bhd (12738-U)**

Date : _____

Dear Sirs,

Re : Application to Open Trading Account for _____

I hereby request you to approve the application to open a Trading Account for _____ with Inter-Pacific Securities Sdn Bhd _____ and to allow me to act as the Dealer's Representative for the abovenamed applicant. As a Dealer's Representative of Inter-Pacific Securities Sdn Bhd, I hereby confirm that all information given herewith by the abovenamed applicant is true and correct to the best of my knowledge. I further confirm the abovenamed applicant is known to me personally and was introduced to the Company by me in my capacity as a Dealer's Representative.

I hereby agree to abide by the Terms and Conditions in my Standard Remisiers' Agreement and/or the Remisiers' Guidelines and/or the Dealer's Agreement with Inter-Pacific Securities Sdn Bhd. I further agree and undertake to indemnify and keep Inter-Pacific Securities Sdn Bhd fully indemnified against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered on which you may incur or suffer by you in relation to your opening of a Trading Account of the abovenamed applicant and the subsequent operation thereof.

Signature of Dealer's Representative
Name : _____
Dealer/Remisier Code : _____
Trading Limit Recommended : (RM) _____
Date : _____

Signature of Dealer's Representative
Name : _____
Dealer/Remisier Code : _____
Trading Limit Recommended : (RM) _____
Date : _____

FOR OFFICE USE ONLY

Existing Account (if any)	: 1. _____	2. _____
Remisier Code	: _____	_____
Type/Limit	: _____	_____
Remarks	: _____	_____
Approved Trading Limit	: (RM) _____	(Revised) (RM) _____
Effective Date	: _____	_____
Reviewed by Credit Control Department	: _____	_____
Remarks	: _____	_____
Approved By	: _____	_____
	Authorised Signatory(ies)	Authorised Signatory(ies)
Date	: _____	_____
<hr/>		
Updated by CS Department	: _____	_____
Date	: _____	_____