

INTER-PACIFIC

SECURITIES SDN. BHD.

(12738-U)

A Participating Organisation of Bursa Malaysia Securities Berhad
A Trading Participant of Bursa Malaysia Derivatives Berhad

APPLICATION FOR MARGIN FACILITY (INDIVIDUAL)

Facility Limit Required : RM	Retail A/C Code :
Name of Remisier / Dealer:	Margin A/C Code:

Applicant's Particulars:

Full Name:

NRIC No: (NEW) - - NRIC No: (OLD)/Passport

Birth Date: - - DD-MM-YYYY Sex: Male Female

Nationality:

Address:

Tel. No.: House - Mobile - Postal Code:

Fax No. - E-mail:

Please Tick Mailing Address: Home Office

Professional/Business Information:

Name of Firm/Employer:

Address:

Tel. No.: Office - Fax No. - Postal Code:

Position Held: Years of Service:

Nature of Business: Commencement of Business:

Financials:

<p>Income</p> <p>Salary & Allowance: RM <input style="width: 50%;" type="text"/></p> <p>Spouse's Income: RM <input style="width: 50%;" type="text"/></p> <p>Others (Give Detail): RM <input style="width: 50%;" type="text"/></p> <p>Total Income (I): RM <input style="width: 50%;" type="text"/></p>	<p>Expenditure</p> <p>Household: RM <input style="width: 50%;" type="text"/></p> <p>Personal: RM <input style="width: 50%;" type="text"/></p> <p>Others (Give Detail): RM <input style="width: 50%;" type="text"/></p> <p>Total Expenditure (II): RM <input style="width: 50%;" type="text"/></p>
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Net Monthly Income (I) - (II) RM

Credit References:

Bank	Branch	Account Type	Account No.

(Please provide separate list if need be)

Assets & Liabilities

Assets

Liabilities

Land & Building:	RM	Bank/Finance Company	Type Of Facility	Outstanding Balance	
-----	-----	-----	-----	RM	
Stocks & Shares (Current Market Value)	RM	-----	-----	RM	
-----	-----	-----	-----	-----	
Cash In Hand & FD	RM	-----	-----	RM	
-----	-----	-----	-----	-----	
Others (Detail):	RM	-----	-----	RM	
-----	-----	-----	-----	-----	
Total Assets (III)	RM	Total Liabilities (IV)		RM	
-----	-----	-----		-----	
		Net Assets (III) - (IV)		RM	
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Other Information

Are you related to any staff or Director or Dealer's Representative of Inter-Pacific Securities Sdn Bhd (IPS)? Yes No

Are you an employee of any Participating Organisation of Bursa Malaysia? Yes No

Details of related persons with accounts maintained with Inter-Pacific Securities Sdn Bhd.

(a) Name: _____ Relationship: _____ Account No. _____	(b) Name: _____ Relationship: _____ Account No. _____
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Do you have an existing account with IPS? Yes No

If Yes, Account No. _____

Are you a guarantor for any account held in IPS? Yes No

If Yes, Account No. _____

Name _____

Declaration & Warranty

1. I hereby solemnly and sincerely declare that the opening of the Margin Account does not violate the Rules of Bursa Malaysia ("the Rules") and in particular Rule 5.3.6 of the Rules:

- (a) I am above 18 years old and that I am not an undischarged bankrupt and/or having criminal record and/or having any litigation case pending against me for any debt due.
- (b) I am not a director or employee of Inter-Pacific Securities Sdn Bhd (IPS) or its related companies or spouse, parent or child of a director or employee of IPS or its related companies.
- (c) I have not been provided a guarantee by any IPS's directors or employees or spouse, parent or child of IPS's directors or employees.
- (d) I am not an employee of a Participating Organisation of Bursa Malaysia or is associated with such an employee nor am I prohibited under Section 93(2) of the Securities Industries Act 1983 or by the Minister of Finance from obtaining financing from IPS.
- (e) I further declare that I have no relationship pursuant to Section 93(2) of the Securities Industries Act 1983 concerning parties from Credit/Margin financing in securities trading.

2. I hereby represent warrant and covenant to IPS as follows:

- (a) I have no litigation or proceedings before any court of law, arbiter, governmental or other regulatory authority or agency bodies pending, which will threaten me, my properties and assets and restrain the execution of any agreement, memorandum, security document or any other instrument as the case may be. There are also no contingent liabilities or other financial obligation, which are material in the aggregate except as disclosed in the financial statement furnished herein.
- (b) There are no encumbrances whatsoever upon any of the stocks, shares and other marketable securities to be pledged that will have priority over the charge(s) to be created in your favour. Save as disclosed herein, none of my assets, revenues, properties & rights are affected by any assignment, mortgage, charge or any interest in any property whether movable or immovable of any kind whatsoever and any bill of exchange, promissory notes, debt, chose in action, guarantee or indemnity or any other security of any nature whatsoever (hereinafter referred to as "Security Interest") nor is part to, or its assets bound by any order, agreement, or instrument under which is or in certain circumstances may be required to create or permit to arise any Security Interest.
- (c) I am aware that the truth and correctness of the above warranties and undertakings hereby contained shall form the basis of your commitment to make and continue to make available the above facility (if approved).

3. By signing below, I request that the Margin Trading Account be opened for me and I agree to abide by the Terms and Conditions governing such an account and as amended from time to time .
4. I agree that IPS shall incur no liability whatsoever to me or the remisier / dealer in exercising any or some of its rights under the Agreement or for taking or not taking any action in connection with the Margin Account granted hereunder or any transaction through or under the same.
5. I agree to be responsible to you and to indemnify you for any sums, expenses, costs, losses or shortfalls of whatsoever nature incurred by you under my account in the event that I fail to make payment to you on time when due and a letter signed by you shall be final and conclusive of such expenses, costs, losses or shortfalls.
6. I hereby authorise you to verify, exchange and release information on myself or any of the names herein with any such third parties as you deemed appropriate. I also authorise you to make any enquiries whenever deemed necessary for confirmation of the due particulars and for credit assessment.
7. I understand and undertake that none of the aforesaid director's or employee's spouse, parent or child contravenes any of the abovesaid provisions and Rules as may be amended from time to time.
8. Form of Disclosure. Please refer to the section below.

**RULES RELATING TO PARTICIPATING ORGANIZATIONS
FORM OF DISCLOSURE BY CLIENTS OF PARTICIPATING ORGANIZATIONS**

I do solemnly declare that the dealings in securities in respect of my margin account shall be carried out -

for me as principal

for and on behalf of the party(ies) set out below, from whom, through whom or on whose behalf the securities are to be dealt with

PARTICULARS

Name of party(ies) concerned :

* Company No./NRIC :

* Address/Registered Address :

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and I/we make this solemn declaration conscientiously believing the same to be true

I further confirm that should I fail to disclose that my trading is on behalf of party(ies) other than myself, it shall be deemed that I am acting as my own principal. Notwithstanding that I may declare herein that my trading is on behalf of party(ies) other than myself, I shall be fully responsible and liable for whatsoever losses and outstanding sum in the trading account.

9. I hereby declare that the information given is true and correct and that I have not wilfully withheld any material facts. I undertake to furnish you with such additional particulars as you may require at any time and from time to time.

Signature of Applicant
Date:

Signature of Witness
Name :
NRIC No:
Date :

Documents required: -

- | | |
|--|---|
| <input type="checkbox"/> NRIC (2 Copies) duly certified by Remisier / Dealer | <input type="checkbox"/> Latest income Tax Form / EA Form |
| <input type="checkbox"/> Bank statement for the last 3 months | <input type="checkbox"/> Last 3 months salary slips |

Note: All transactions for the Clients Account(s) shall be subject to the Constitution, rules, regulation, by-laws, customs, levies and usages of Bursa Malaysia or such other stock exchanges or markets in which IPS are dealing on the Client's behalf whether in Kuala Lumpur or elsewhere as all Malaysian Laws, enactments, rules and regulations of the governing authorities, including Bank Negara Malaysia, Securities Commission and other relevant bodies.

Dealer's Recommendation

Signature of Remisier / Dealer

Date

For Office Use Only

Credit Limit Recommended

Equity Ratio

Interest Rate

Rollover Fee

Remarks